

# Solo 401k Investment Form

\_\_\_\_\_  
Solo 401k Plan Name

\_\_\_\_\_  
Participant Name

I, \_\_\_\_\_, certify that I am the Trustee and/or Participant of the \_\_\_\_\_ 401k Plan, and that I am given the right to direct the investment of my account.

As trustee, I direct the purchase of the following assets for my Solo 401k plan at the indicated terms, if any:

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_

As trustee, I direct the selling and/or liquidation the following assets for my Solo 401k plan at the indicated terms, if any:

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_

I accept full responsibility for my investment decision and instruction. As trustee of my 401k, I understand that investing inherently carries risk and that I am solely responsible for the investment performance of my self-directed retirement plan. I describe this transaction as follows: \_\_\_\_\_

\_\_\_\_\_  
*Additionally, I certify as a result of my own research or by consultation with a tax professional or legal counsel that I do not believe the above described transaction to be prohibited by Internal Revenue Code Section 4975 and accept full responsibility should it be determined that this is a prohibited transaction.*

\_\_\_\_\_  
Trustee/Participant Signature

\_\_\_\_\_  
Date